

# Local rigidity of manifolds with hyperbolic cusps

Thibault Lefeuvre

Joint work with Yannick Guedes Bonthonneau

Institut de Mathématique d'Orsay

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- 1 The marked length spectrum
  - Setting of the problem in the closed case
  - The case of manifolds with hyperbolic cusps
- 2 Ingredients of proof in the closed case
  - Taylor expansion of the marked length spectrum
  - The normal operator
- 3 What is new in the case of cusp manifolds?
  - Key ingredients
  - A geometric calculus

- $(M, g_0)$  smooth closed (compact,  $\partial M = \emptyset$ ) Riemannian manifold with **negative sectional curvature**.
- $\mathcal{C}$  = set of **free homotopy classes**  $\overset{1\text{-to-1}}{\leftrightarrow}$  closed  $g_0$ -geodesics (i.e.  $\forall c \in \mathcal{C}, \exists! \gamma_{g_0}(c) \in c$ )

### Definition (The marked length spectrum)

$$L_{g_0} : \begin{cases} \mathcal{C} \rightarrow \mathbb{R}_+^* \\ c \mapsto \ell_{g_0}(\gamma_c), \end{cases}$$

$\ell_{g_0}(\gamma_c)$  Riemannian length computed with respect to  $g_0$ .

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## Conjecture (Burns-Katok '85)

The marked length spectrum of a negatively-curved manifold *determines the metric* (up to isometries) i.e.: if  $g$  and  $g_0$  have negative sectional curvature, same marked length spectrum  $L_g = L_{g_0}$ , then  $\exists \phi : M \rightarrow M$  smooth diffeomorphism *isotopic to the identity* such that  $\phi^*g = g_0$ .

- Analogue of **Michel's conjecture** of rigidity for simple manifolds with boundary (**the boundary distance function should determine the metric** up to isometries),
- Why the **marked** length spectrum ? The **length spectrum** (:= collection of lengths regardless of the homotopy) **does not determine the metric** (counterexamples by **Vigneras '80**)
- Conjecture can be generalized to **Anosov manifolds** i.e. manifolds on which the geodesic flow is **uniformly hyperbolic**.

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- Conjecture can be generalized to **Anosov manifolds** i.e. manifolds on which the geodesic flow is **uniformly hyperbolic**.

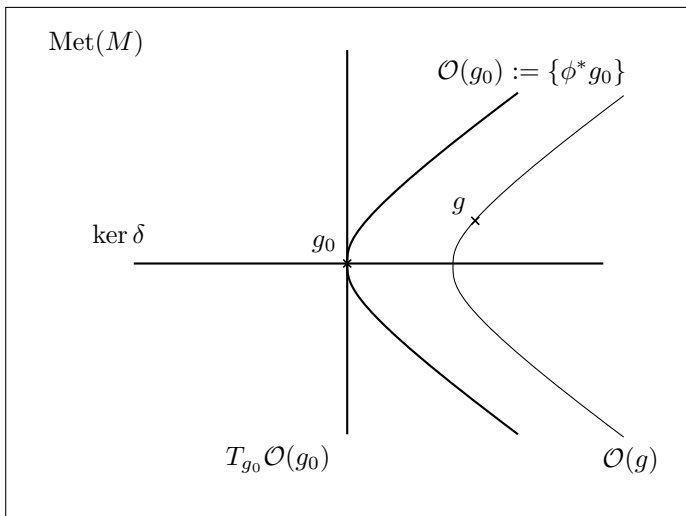
Known results:

- **Guillemin-Kazhdan '80, Croke-Sharafutdinov '98**: proof of the **infinitesimal version** of the problem (for a **deformation**  $(g_s)_{s \in (-1,1)}$  of the metric  $g_0$ ),
- **Croke '90, Otal '90**: proof for **negatively-curved surfaces**,
- **Katok '88**: proof for  $g$  **conformal** to  $g_0$ ,
- **Besson-Courtois-Gallot '95, Hamenstädt '99**: proof when  $(M, g_0)$  is a **locally symmetric space**.

**Theorem (Guillarmou-L. '18, Guillarmou-Knieper-L. '19)**

*Let  $(M, g_0)$  be a negatively-curved manifold. Then  $\exists k \in \mathbb{N}^*, \varepsilon > 0$  such that: if  $\|g - g_0\|_{C^k} < \varepsilon$  and  $L_g = L_{g_0}$ , then  $g$  is isometric to  $g_0$ .*





- $(M, g_0)$  is a **cusp manifold** i.e. a smooth non-compact Riemannian manifold with negative curvature s.t.  $M = M_0 \cup_{\ell} Z_{\ell}$ . The ends  $Z_{\ell}$  are **real hyperbolic cusps** i.e.  $Z_{\ell} \simeq [a, +\infty)_y \times (\mathbb{R}^d/\Lambda)_{\theta}$ , where  $\Lambda$  is a **unimodular lattice** and

$$g|_{Z_{\ell}} \simeq \frac{dy^2 + d\theta^2}{y^2}$$

- $\mathcal{C}$  = set of **hyperbolic** free homotopy classes (in opposition to the **parabolic** ones wrapping exclusively around the cusps).

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## Theorem (Guedes Bonthonneau-L. '19)

Let  $(M, g_0)$  be a cusp manifold. Then  $\exists k \in \mathbb{N}^*, \varepsilon > 0$  and a *codimension 1 submanifold  $\mathcal{N}$  of the space of isometry classes* such that: if  $\mathcal{O}(g) \in \mathcal{N}$ ,  $\|g - g_0\|_{y^{-k}C^k} < \varepsilon$  and  $L_g = L_{g_0}$ , then  *$g$  is isometric to  $g_0$ .*

- Known results: proof for surfaces by **Cao '95**.

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Theorem (Guillarmou-L. '18, Guillarmou-Knieper-L. '19)

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- 1 **Solenoidal reduction**: there exists a diffeomorphism  $\phi$  such that:  $\delta(\phi^*g) = 0$ . So, WLOG, we can assume  $g - g_0 \in \ker \delta$ .
- 2 **Taylor expansion** of the ratio of the length spectra:

$$\mathcal{L}(g) := L_g/L_{g_0} = \mathbf{1} + d\mathcal{L}_{g_0}(g - g_0) + \mathcal{O}(\|g - g_0\|_{C^3}^2)$$

- 3 If  $L_g = L_{g_0}$ , then  $\|d\mathcal{L}_{g_0}(g - g_0)\|_{\ell^\infty} \leq C\|g - g_0\|_{C^3}^2$ . Thus, if we have a **stability estimate** for  $d\mathcal{L}_{g_0}$  on  $\ker \delta$  like

$$\|f\|_{C^3} \leq C\|d\mathcal{L}_{g_0}(f)\|_{\ell^\infty},$$

we are done.

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Let  $(M, g_0)$  be a negatively-curved manifold. Then  $\exists \kappa \in \mathbb{N}^*, \varepsilon > 0$  such that: if  $\|g - g_0\|_{C^\kappa} < \varepsilon$  and  $L_g = L_{g_0}$ , then  $g$  is isometric to  $g_0$ .

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$$\|f\|_{C^2} \leq C\|d\mathcal{L}_{g_0}(f)\|_{\ell^\infty}^\theta \|f\|_{C^{1789}}^{1-\theta},$$

we are done (using some interpolation estimates).

## Definition (Geodesic X-ray transform)

$$I_2^{g_0} : C^0(M, \otimes_S^2 T^*M) \rightarrow \ell^\infty(\mathcal{C}),$$
$$I_2^{g_0} f : \mathcal{C} \ni c \mapsto \frac{1}{\ell(\gamma_{g_0}(c))} \int_0^{\ell(\gamma_{g_0}(c))} f_{\gamma(t)}(\dot{\gamma}(t), \dot{\gamma}(t)) dt,$$

with  $\gamma_{g_0}(c)$  unique closed geodesic in  $c$ .

- $d\mathcal{L}_{g_0} = 1/2 \times I_2^{g_0}$ ,
- In negative curvature,  $\ker I_2^{g_0} = T_{g_0} \mathcal{O}(g_0)$  (**Croke-Sharafutdinov '98**). In other words,  $I_2^{g_0}$  is **injective on  $\ker \delta$** .

**Question:** **Stability estimates** for the X-ray transform  $I_2^{g_0}$ ?

## Theorem (Guillarmou-L. '18, Goüzel-L. '19)

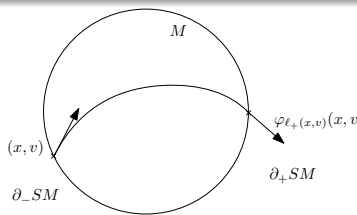
Let  $0 < \alpha < \beta$ . Then,  $\exists C, \theta > 0$  such that:

$$\forall f \in C^\beta \cap \ker \delta, \quad \|f\|_{C^\beta} \leq C \|I_2^{g_0} f\|_{\ell^\infty}^\theta \|f\|_{C^\alpha}^{1-\theta}$$



- On a simple manifold, for  $(x, v) \in \partial_- SM$ ,

$$\begin{aligned} l_2 f(x, v) &= \int_0^{\ell_+(x, v)} f_\gamma(t)(\dot{\gamma}(t), \dot{\gamma}(t)) dt \\ &= \int_0^{+\infty} \pi_2^* f_{\text{ext}}(\varphi_t(x, v)) dt \\ &= (I \circ \pi_2^*) f_{\text{ext}}(x, v) \end{aligned}$$



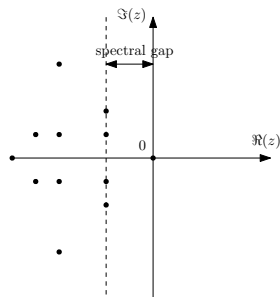
- The **normal operator**  $\Pi_2 := I_2^* I_2 : C^\infty(M, \otimes_S^2 T^*M) \circlearrowleft$  is
  - a  $\Psi$ DO of order  $-1$ ,
  - formally selfadjoint and nonnegative,
  - elliptic on  $\ker \delta$** .
- One can write  $\Pi_2 := \pi_{2*} I^* I \pi_2^*$ , with  $I^* I = \int_{-\infty}^{+\infty} e^{tX} dt$ . If  $R_\pm(\lambda) := (X \pm \lambda)^{-1}$  denotes the **resolvent of the generator of the geodesic flow**, then  $I^* I = R_+(0) - R_-(0)$ . Thus:

$$\Pi_2 = I_2^* I_2 = \pi_{2*} (R_+(0) - R_-(0)) \pi_2^*$$

# Meromorphic extension of the resolvent $(X \pm \lambda)^{-1}$

- Idea (**Guillarmou '17**): In the closed case, mimic the case of a simple manifold,
- $R_{\pm}(\lambda) := (X \pm \lambda)^{-1}$ , initially defined on  $\Re(\lambda) > 0$ , admit a meromorphic extension to  $\mathbb{C}$  when acting on anisotropic Sobolev spaces with poles of finite ranks: the Pollicott-Ruelle resonances (Liverani '04, Butterley-Liverani '07, Faure-Sjöstrand '11, Dyatlov-Zworski '13, Faure-Tsuji '13 '17),
- 0 is a pole of order 1 and  $\text{Res}_0((X \pm \lambda)^{-1}) = \mathbf{1} \otimes \mathbf{1}$ ,
- Define

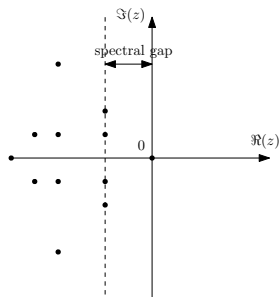
$$\Pi_2 := \pi_{2*}(R_+^{\text{hol}}(0) - R_-^{\text{hol}}(0))\pi_2^* + \pi_{2*}\mathbf{1} \otimes \mathbf{1}\pi_2^*$$



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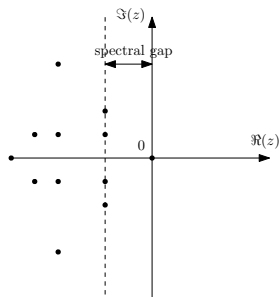
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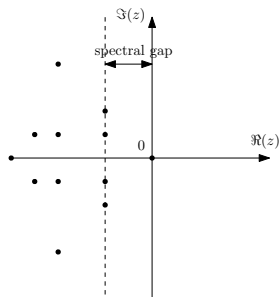
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## Properties of $\Pi_2$

- A more “explicit” expression of  $\Pi_2$ : if  $f_1, f_2 \in C^\infty(M, \otimes_S^2 T^*M)$  have 0-average, then

$$\langle \Pi_2 f_1, f_2 \rangle_{L^2} = \int_{-\infty}^{+\infty} \langle e^{tX} \pi_2^* f_1, \pi_2^* f_2 \rangle_{L^2(SM, d\mu_{\text{Liouville}})} dt$$

Think of  $\Pi_2$  as “ $\pi_{2*} \circ \int_{\mathbb{R}} e^{tX} dt \circ \pi_2^*$ ”.

### Theorem (Guillarmou '17, Guillarmou-L. '18, Gouëzel-L. '19)

- $\Pi_2$  is a  $\Psi DO$  of order  $-1$ , *elliptic* on tensors in  $\ker \delta$ ,
- One has:  $\ker \Pi_2 = \ker I_2 = T_{g_0} \mathcal{O}(g_0)$ ,
- This implies the *elliptic estimate*:

$$\|f\|_{H^s} \leq C \|\Pi_2 f\|_{H^{s+1}}, \quad \forall f \in \ker \delta$$

**Question:** link between  $\Pi_2$  and  $I_2$ ? We are looking for an estimate like:

$$\|\Pi_2 f\|_{H^{s+1}} \leq C \|I_2 f\|_{\ell^\infty}^\theta \|f\|_{H^{s+1}}^{1-\theta}$$

# Approximate Livsic theorem

- Recall that

$$\Pi_2 := \pi_{2*} \underbrace{(R_+^{\text{hol}}(0) - R_-^{\text{hol}}(0) + \mathbf{1} \otimes \mathbf{1})}_{=\Pi} \pi_2^*$$

- By construction  $\Pi$  does not see **coboundaries** namely  $\Pi(Xu) = 0$  for all  $u \in H^s(SM)$ ,  $s > 0$ . There exists an orthogonal decomposition of functions (**Gouëzel-L. '19**)

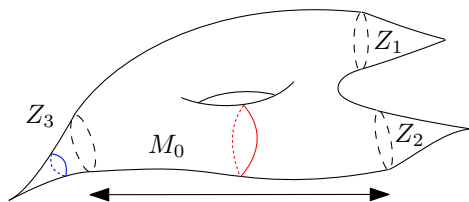
$$H^s(SM) \ni f = Xu + h, \quad \|h\|_{H^s} \leq C \|If\|_{\ell^\infty}^{1-\theta} \|f\|_{C^1}^{1-\theta}$$

- Apply this to  $\pi_2^* f = Xu + h$ :

$$\begin{aligned} \|\Pi_2 f\|_{H^s} &= \|\pi_{2*} \Pi(\pi_2^* f)\|_{H^s} \\ &= \|\pi_{2*} \Pi(Xu + h)\|_{H^s} \\ &\leq \|\pi_{2*} \Pi h\|_{H^s} \\ &\leq \|h\|_{H^s} \leq C \|I_2 f\|_{\ell^\infty}^{1-\theta} \|f\|_{C^1}^{1-\theta} \end{aligned}$$

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## Key ingredients of the previous proof

- 1 Meromorphic extension of  $(X \pm \lambda)^{-1}$  to a strip  $\{\Re(\lambda) > -1/1515\}$  to define  $\Pi_2$ ,
- 2 Stability estimate  $\|f\|_{H^s} \leq C \|\Pi_2 f\|_{H^{s+1}}$  for  $f \in \ker \delta$ ,
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## Key ingredients of the previous proof

- Meromorphic extension of  $(X \pm \lambda)^{-1}$  acting on anisotropic Sobolev spaces proved on a small strip  $\{\Re(\lambda) > -\delta\}$  by **Guedes Bonthonneau-Weich '17**. This is done by combining the **Dyatlov-Zworski '13** approach (radial points estimates) with ideas inspired by **Melrose's** b-calculus.
- The estimate  $\|f\|_{H^s} \leq C\|\Pi_2 f\|_{H^{s+1}}$  for  $f \in \ker \delta$  is based on a parametrix construction for  $\Pi_2$  **with a compact remainder**.

**Question:** How to produce compact remainders?

# A geometric calculus

- **Guedes Bonthonneau '16** introduced on cusps a “geometric” calculus  $\cup_{m \in \mathbb{R}} \Psi^m$  in which  $\Pi_2$  will fit. It is an extension of the algebra of differential operators generated by the orthonormal vectors

$$y\partial_y, \quad y\partial_\theta$$

- An elliptic  $\Psi$ DO  $P \in \Psi^m$  can be inverted in this calculus:  
 $QP = \mathbf{1} + R$ , with  $R$  smoothing i.e.  $R : H^{-s} \rightarrow H^s$  bounded for all  $s \in \mathbb{R}$ . **But  $R$  is not compact!** because the inclusion  $H^{s_1} \hookrightarrow H^{s_2}$  for  $s_1 > s_2$  is **no longer compact**. However  $y^{\rho-\epsilon} H^{s_1} \hookrightarrow y^\rho H^{s_2}$  is compact ( $\epsilon > 0$ ).

Two important remarks:

- The lack of compactness in Kato-Rellich comes from  $\theta$ -independent functions in the cusp. In other words, for  $s_1 > s_2$ ,  $H_{\perp}^{s_1} \hookrightarrow H_{\perp}^{s_2}$  is compact, where the  $\perp$ -subscript denotes functions  $f$  such that

$$\forall y, \in [a, +\infty), \quad \int_{(\mathbb{R}/\Lambda)^d} f(y, \theta) d\theta = 0$$

- The elliptic operators  $P$  we are interested in (like  $\Pi_2$ ) are geometric and thus “commute” with  $\partial_{\theta}$  in the sense that  $[P, \partial_{\theta}] = \text{compact}$ . In other words, they act diagonally on Fourier modes in the  $\theta$ -variable (modulo compact junk).

**Conclusion:** In order to invert a geometric elliptic  $\Psi$ DO  $P$  modulo compact remainder, one needs to invert it exactly on  $\theta$ -independent functions i.e. construct  $Q', R'$  with  $R'$  smoothing such that  $Q'P = \mathbf{1} + R'$  where given  $f \in H^s$ , the  $\theta$ -independent component of  $R'f$  is  $\approx 0$  (i.e. fast decay at infinity).



**Conclusion:** In order to invert a geometric elliptic  $\Psi$ DO  $P$  modulo compact remainder, one needs to **invert it exactly** on  $\theta$ -independent functions i.e. construct  $Q', R'$  with  $R'$  smoothing such that  $Q'P = \mathbf{1} + R'$  where given  $f \in H^s$ , the  $\theta$ -independent component of  $R'f$  is  $\approx 0$  (i.e. fast decay at infinity).

- Given such a geometric operator  $P$ , it also **commutes with the generator of the dilation  $y\partial_y$  on  $\theta$ -independent functions** i.e.  $[P, y\partial_y] = \text{compact}$  on such functions. In the  $r = \log y$  variable,  $[P, \partial_r] = \text{compact}$ .
- Thus, modulo compact junk, **on  $\theta$ -independent functions and sufficiently high in the cusp,  $P$  looks like a Fourier multiplier**. In other words, for  $\xi \in \mathbb{R}$ ,  $P(e^{i\xi r}) \approx l_P(i\xi)e^{i\xi r}$ , with  $l_P(i\xi) \in \mathbb{C}$ . More generally, for  $\lambda = \rho + i\xi \in \mathbb{C}$ ,

$$P(e^{\lambda r}) = P(e^{\rho r} e^{i\xi r}) \approx l_P(\lambda)e^{\lambda r}$$

Here  $\rho \in \mathbb{R}$  is a **weight** and corresponds to looking at the operator  $P$  on the spaces  $y^{d/2}y^\rho H^s$ .

$$P(e^{\lambda r}) = P(e^{\rho r} e^{i\xi r}) \approx I_P(\lambda) e^{\lambda r}$$

- We call  $\mathbb{C} \ni \lambda \mapsto I_P(\lambda) \in \mathbb{C}$  the **indicial operator** associated to  $P$ , it is a **holomorphic function** of  $\lambda$ . Like in b-calculus, the inversion of  $P$  modulo compact remainder on the spaces  $y^{d/2} y^\rho H^s$  requires

$$I_P(\rho + i\xi) \neq 0, \quad \forall \xi \in \mathbb{R}$$

- If  $P$  acts on a **vector bundle**  $E \rightarrow Z$ ,  $I(\lambda)$  is matrix-valued. This is the case for  $P = \Pi_2$ .
- $P$  may also act on a **product manifold**  $F \times Z$ , in which case  $I(\lambda)$  takes values in (pseudo)differential operators acting on  $C^\infty(F)$ . This is the case for the geodesic vector field  $X$  acting on  $SM$ , unit tangent bundle of a cusp surface. In the  $(y, \theta, \phi)$  coordinates,

$$X = \cos \phi y \partial_y + \sin \phi y \partial_\theta + \sin \phi \partial_\phi$$

Thus:  $I_X(\lambda) = \lambda \cos \phi + \sin \phi \partial_\phi \in \text{Diff}^1(\mathbb{S}^1)$ .

## Back to $\Pi_2$ !

- $\mathbb{C} \ni \lambda \mapsto I_{\Pi_2}(\lambda)$  is a matrix-valued holomorphic function of  $\lambda$ .

**Question:** What are its indicial roots i.e. for which values is it invertible? We need to look its action on symmetric 2-tensors of the form

$$f = a \frac{dy^2}{y^2} + b^i \frac{dy \otimes d\theta_i + d\theta_i \otimes dy}{2y^2} + c^{ij} \frac{d\theta_{ij}^2}{y^2}$$

i.e. compute  $I_{\Pi_2}(\lambda)f = y^{-\lambda}\Pi_2(y^\lambda f)$ .

- However, we are only interested in  $\Pi_2$  acting on  $\ker \delta$ . This implies the linear relations  $b_i = 0$ ,  $a(\lambda - 1) + \text{Tr}(c) = 0$  for  $f$ . Moreover, it is **sufficient** to compute  $\langle I_{\Pi_2}(\lambda)f, f \rangle$  and show that this is  $\neq 0$  when  $f \neq 0$ . This implies a “**lower bound**” on the indicial roots of  $\Pi_2$ .

- We obtain:

$$\begin{aligned} \langle I_{\Pi_2}(\lambda)f, f \rangle &= \frac{\text{vol}(\mathbb{S}^{d-1})\pi}{(\lambda+1)(d+1-\lambda)} \frac{\Gamma\left(\frac{\lambda}{2}\right)\Gamma\left(\frac{d-\lambda}{2}\right)}{\Gamma\left(\frac{\lambda+1}{2}\right)\Gamma\left(\frac{d+1-\lambda}{2}\right)} \\ &\times \left[ |a|^2 \left( 1 + \frac{|d-\lambda|^2}{d} + \frac{\lambda(d-\lambda)}{d} + |d-\lambda|^2 \frac{\lambda(d-\lambda)}{d(d+2)} \right) \right. \\ &\quad \left. + 2 \text{Tr} |c|^2 \frac{\lambda(d-\lambda)}{d(d+2)} \right] \end{aligned}$$

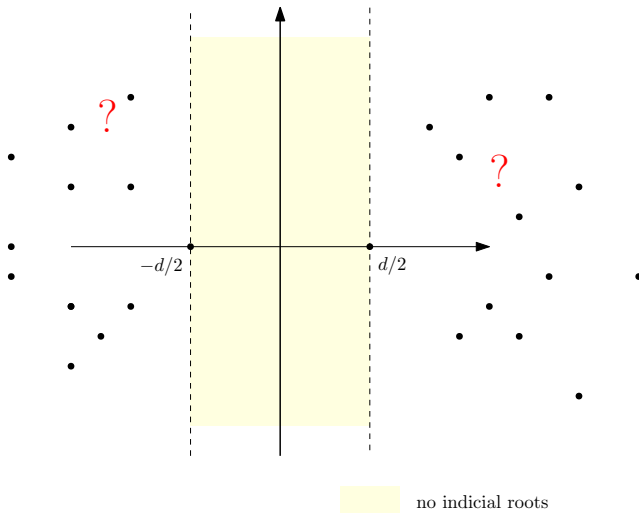


Figure: Lower bound on the indicial roots of  $I_{\Gamma_2}(\lambda + d/2)$ .

### Theorem (Guedes Bonthonneau-L. '19)

For  $s > 0$  small enough, there exists  $C, \theta > 0$  such that:

$$\forall f \in C^1 \cap \ker \delta, \quad \|f\|_{H^{-1-s}} \leq C \|I_2 f\|_{\ell^\infty}^\theta \|f\|_{C^1}^{1-\theta}$$

Thank you for your attention!

